

NORMAN ARCHIBALD CHARITABLE FOUNDATION

BOARD OF DIRECTORS

Robert L. Gerth
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Vice Chair

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Member

GUIDELINES FOR GRANT APPLICANTS

The Foundation's Articles of Incorporation provide that distributions from the Foundation are to be made as determined by the Board of Directors to qualifying charitable organizations. The Directors have determined, as a matter of policy, to adhere to the following guidelines:

- a) Applications for grants will be considered only from organizations which have been ruled to be tax-exempt under Section 501(c)(3) of the Internal Revenue Code and which are not private foundations as defined in Section 509(a) of the code.
- b) Grants will be confined to organizations operating within the Puget Sound and Northwest Washington region or to projects significantly affecting its residents. (The "region" includes the following Washington State counties: Whatcom, San Juan, Skagit, Island, Snohomish, King, Kitsap, Pierce, Thurston, Mason, Grays Harbor, Jefferson and Clallam Counties.)
- c) No grants will be made to individuals, private foundations or to governmental or tax-supported institutions.
- d) No grants will be made to previous grantees that failed to timely remit their Grant Use Reports.
- e) The Foundation annually funds two categories of qualifying charitable organizations that together provide tangible support for urgent needs to improve the quality of life for residents of the region. The categories funded are:
 1. A limited group of prequalified somewhat larger recurring CORE grants that are funded annually over an extended period of time. The Foundation has identified these organizations to be deserving of ongoing support. Changes to this group of organizations are infrequent.
 2. A larger number of smaller REGULAR grants which in total are affordable to the Foundation while reaching significant numbers of agencies in need with limited available resources. These grants are individually smaller dollar awards (usually \$3,000 to \$7,000) but they fill an important need for meaningful numbers of organizations. An organization having received a REGULAR grant becomes ineligible to receive another grant from the Foundation in the second calendar year after receipt of the most recent grant. (See Note 1 on Grant Checklist)
- f) **Grants are usually NOT awarded for ongoing programs, normal operations** or for debt retirement or operational deficits; for contributions for endowments or scholarship funds; or for grant to conduit organizations, i.e., to tax-exempt organizations for passing funds on to organizations not tax-exempt in their own right.
- g) Grants are usually awarded for assisting with the funding of capital projects, facility needs, equipment and technology improvement.
- h) Adult performing arts organizations located in King and Pierce Counties are now being considered for funding through the ArtsFund. The ArtsFund web address is <http://artsfund.org/about-arts-fund/grant-process>. Performing arts programs exclusively for youths (17 & under) continue to be considered for grants by the Foundation.

The Board of Directors usually meet in the months of February, April, June, September and December to make grants. Applicants may anticipate a response to their application within a reasonable period after the meeting at which their request was considered. Occasionally the Directors will defer a grant decision to a later meeting. The Foundation Directors invite, and will consider, a request for a REGULAR grant submitted in the form described on the "Applying for a Grant & Document Checklist" on the following page.

NORMAN ARCHIBALD CHARITABLE FOUNDATION

GUIDELINES FOR GRANT APPLICANTS Application Checklist

NOTE: As our funds are limited, the Foundation prefers to assist applicants that have limited resources typically those with less than two year's average operating expense in reserve.

To be considered for a REGULAR grant, a qualifying charitable organization as described previously, must complete and submit their grant application online at <https://archibaldfoundation.org> in accordance with the contained and below listed instructions.

Archibald Foundation provided document: (Available on the website above.)

“GRANT AGREEMENT TERMS AND CONDITIONS”, signed by applicant.

Applicant documents to be provided:

1. If Applicant has received a Foundation grant after 2012 and before 2018, include a copy of the “Grant Use Report” for the most recent grant.
2. Executive Director’s summary of application.
3. Application narrative including:
 - a. Organization’s description, history and accomplishments,
 - b. Sources of ongoing operational and program funding.
 - c. Counties where services are provided.
 - d. Explicit project description including, location, timing and budget,
 - e. Specific quantitative and qualitative benefits derived by project,
 - f. Remaining project funding needs and sources,
 - g. Project & financial leader’s qualifications,
 - h. Partners providing similar services and any distinguishing differences,
4. Copies of most recent IRS 501(c)(3) determination letter. Letter must include the statement “not a private foundation”. If this statement is not included in the letter, a separate IRS letter with this language must also be included.
5. A currently dated written statement signed by the applicant’s Executive Director confirming that the Organization’s Tax Exempt and Not a Private Foundation status continues to date.
6. Current Officers and Board Members with their affiliations.
7. Two years audited financial statements including the “Balance Sheet”, “Statement of Earnings”, “Statement of Cash Flow” and “Notes to the Financial Statements” **DATED WITHIN TWELVE MONTHS OF GRANT APPLICATION**.
 - a. **Or** If audited financial statements are not available, please remit unaudited financial statements
 - b. **Or** IRS Form 990 preferably prepared by a qualified independent person/firm **(DATED WITHIN TWELVE MONTHS OF GRANT APPLICATION)**.
8. Current Organizational Budget and any significant changes in financial data since date of financial statements.

NAF Grant Guidelines dated 02/08/2018 Version 7.8